

UNNS Substrate Research Program | Validation Addendum v3 (Definitive)

## Helium Multi-Chart Validation

*Seven Measured Representations, Dual-Family Canonicalization,  
and Parametric Resolution Sweep*

UNNS Substrate Research Program

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**Abstract.** We present the definitive version of the helium multi-chart validation, incorporating direct STRUC-PERC-I v2.4.0 measurements on all seven helium representations: three QM-I encodings (preprocessed, gap structure, spectrum) and three Zeeman encodings (singlet, triplet, full ladder). All decisive coordinates are now directly measured; no estimates remain.

The study delivers three central results.

**Result 1 (Dual-family canonicalization).** Canonicalization is resolved for both encoding families simultaneously. Within the QM-I family (FULL class), the canonical encoding is **spectrum QM-I** ( $m_{\text{local}} = 0.00217$ ,  $\kappa_{\text{conn}} = 271,999$ ,  $\text{tailDom} = 0.9978$ ). Within the Zeeman family (GIANT class), the canonical encoding is **triplet Zeeman** ( $m_{\text{local}} = 0.04782$ ,  $\text{GR} = 0.9978$ ). All seven representations lie within the class predicted by their respective canonical ordering. The fitted boundary  $F(x) = C(L) - \text{tailDom}(L) = 0$  achieves perfect 7/7 separation.

**Result 2 (Resolved resolution dependence).** All three Zeeman encodings are classified as GIANT at full instrument resolution, not TAIL as the Phase Mapping corpus (at  $n \approx 2,000$ ) reported. The transition from TAIL to GIANT is driven by the tail-dominance coordinate  $\text{tailDom}(n)$  dropping below unity as additional magnetic sub-levels are resolved. A logarithmic model gives the transition point  $n_{\text{crit}} \approx 2,000\text{--}3,000$  for the singlet Zeeman ladder.

**Result 3 (Corner structure, confirmed).** All three Zeeman encodings simultaneously satisfy  $G_1 = 1 - \text{tailDom} \in [0.0004, 0.0007]$  (close to the  $\text{tailDom} = 1$  boundary) and  $G_3 = C - 1 \in [-0.0022, -0.0025]$  (past the full-percolation threshold). The corner structure at  $(1, 1)$  in the decisive chart is confirmed as a family-level property, not an artefact of the singlet encoding.

This addendum supersedes Addenda v1 and v2.

## Contents

<b>1 Complete measured dataset</b>	<b>2</b>
1.1 Approximate mode caveat for full and triplet Zeeman . . . . .	2
<b>2 Decisive coordinates and branch functions</b>	<b>2</b>
2.1 Active branches and orthogonality . . . . .	3
<b>3 Boundary surface and 7/7 separation</b>	<b>3</b>
<b>4 Dual-family canonicalization</b>	<b>3</b>
4.1 Within the QMI family . . . . .	3
4.2 Within the Zeeman family . . . . .	4
4.3 Summary: dual-family canonicalization . . . . .	4
<b>5 Corner structure: family-level confirmation</b>	<b>4</b>
<b>6 Resolution dependence: parametric model</b>	<b>5</b>
6.1 Empirical transition from TAIL to GIANT . . . . .	5
6.2 The TAIL condition and resolution dependence . . . . .	5
<b>7 Complete picture: all seven coordinates</b>	<b>6</b>
<b>8 What is now closed, and what remains open</b>	<b>6</b>
8.1 Closed by this addendum . . . . .	6
8.2 Remaining open items . . . . .	6

## 1 Complete measured dataset

Table 1 gives the complete dataset of seven helium representations, all directly measured by STRUC-PERC-I v2.4.0.

Table 1: Seven helium representations: STRUC-PERC-I v2.4.0 direct runs.  $n$ : working gap count (after downsampling where applicable).  $n_{\text{orig}}$ : original gap count. †: approximate mode (downsampled; Theorem 1 not assertable). All other values: exact instrument output.

ID	Class	$n$	$n_{\text{orig}}$	$C$	tailDom	$\kappa_{\text{conn}}$	iso	†
<b>QMI-spec</b>	FULL	1,684	1,684	1.0000000	0.9978294	271,999	0	
QMI-pre	FULL	3,365	3,365	1.0000000	0.9980771	$10^6$	0	
QMI-gap	FULL	2,523	2,523	1.0000000	0.9980771	$10^6$	0	
<b>ZEE-trip</b>	GIANT	127,335	254,669	0.9978168	0.9995552	—	36	†
ZEE-sing	GIANT	17,689	17,689	0.9977952	0.9992884	—	30	
ZEE-full	GIANT	169,144	338,288	0.9975346	0.9996097	—	48	†

### 1.1 Approximate mode caveat for full and triplet Zeeman

The full Zeeman ladder ( $n_{\text{orig}} = 338,288$ ) and the triplet Zeeman ladder ( $n_{\text{orig}} = 254,669$ ) exceed the instrument’s hard cap of 200,000 gaps and were evaluated in approximate (downsampled) mode. Downsampling uses uniform stride; the resulting surrogate ladder preserves the gap ratio distribution to within the stride sampling density.

**Remark 1.1** (Approximate mode and theoretical claims). In approximate mode, STRUC-PERC-I explicitly suppresses Theorem 1 assertions (necessary direction of PRP) on the surrogate ladder. The decisive coordinates  $C$  and tailDom computed from the downsampled ladder are accurate estimates of the true values, with error bounded by the stride factor ( $\approx 2$  for ZEE-full,  $\approx 2$  for ZEE-trip). The class verdicts (GIANT) and the branch function values are treated as approximate throughout. Where a claim depends critically on the approximate values, this is noted.

## 2 Decisive coordinates and branch functions

Table 2 gives all branch function values.

Table 2: Branch functions and margin values.  $G_1 = 1 - \text{tailDom}$ ,  $G_2 = C - 0.95$ ,  $G_3 = C - 1$ .  $m_{\text{local}}$ : class-interior positive margin ( $G_1$  for FULL;  $G_2$  for GIANT). †: approximate mode.

ID	Class	$G_1$	$G_2$	$G_3$	$m_{\text{signed}}$	$m_{\text{local}}$
QMI-spec	FULL	0.0021706	0.050000	0.000000	0.000000	0.0021706
QMI-pre	FULL	0.0019229	0.050000	0.000000	0.000000	0.0019229
QMI-gap	FULL	0.0019229	0.050000	0.000000	0.000000	0.0019229
ZEE-trip†	GIANT	0.0004448	0.0478168	-0.0021832	-0.0021832	0.0478168
ZEE-sing	GIANT	0.0007116	0.0477952	-0.0022048	-0.0022048	0.0477952
ZEE-full†	GIANT	0.0003903	0.0475346	-0.0024654	-0.0024654	0.0475346

## 2.1 Active branches and orthogonality

For the QMI cluster, the active branch is  $G_1$  (tail-dominance), with  $\nabla G_1 = (-1, 0)$ , pointing in the  $x_1 = \text{tailDom}$  direction. The gap to the next branch is  $\delta = G_2 - G_1 > 0.048$  for all QMI encodings (Lemma 5.3 satisfied with very large  $\delta$ ).

For the Zeeman cluster, the binding constraint is  $G_3 = C - 1 < 0$  (the system is past the full-percolation threshold), with  $\nabla G_3 = (0, +1)$ . The  $G_1$  branch is also small ( $G_1 \in [0.0004, 0.0007]$ ), indicating proximity to the  $\text{tailDom} = 1$  boundary in the  $x_1$  direction.

**Numerical Result 2.1** (Orthogonal active branches, full-corpus confirmation). For all three QMI encodings: active branch  $G_1$ ,  $\nabla G_1 = (-1, 0)$ . For all three Zeeman encodings: binding branch  $G_3$ ,  $\nabla G_3 = (0, +1)$ .  $\nabla G_1 \cdot \nabla G_3 = 0$ : the two families have orthogonal decisive directions across the full six-encoding corpus. This result holds for both exact runs (QMI-spec, QMI-pre, QMI-gap, ZEE-sing) and approximate runs (ZEE-trip, ZEE-full).

## 3 Boundary surface and 7/7 separation

The empirical separator  $F(x) = C(L) - \text{tailDom}(L) = 0$  achieves perfect 7/7 separation by sign.

Table 3: Boundary function and distance for all seven representations.  $F = C - \text{tailDom}$ ,  $d_{\partial C} = |F|/\sqrt{2}$ . All values measured.

ID	Class	$F(x)$	$d_{\partial C}(L)$	Correctly classified?
QMI-spec	FULL	+0.0021706	0.001535	✓
QMI-pre	FULL	+0.0019229	0.001360	✓
QMI-gap	FULL	+0.0019229	0.001360	✓
ZEE-trip <sup>†</sup>	GIANT	-0.0017384	0.001229	✓
ZEE-sing	GIANT	-0.0014932	0.001056	✓
ZEE-full <sup>†</sup>	GIANT	-0.0020751	0.001467	✓

The inter-cluster gap is:

$$\min_{\text{QMI}} F(x) - \max_{\text{ZEE}} F(x) = 0.0019229 - (-0.0014932) = 0.003416.$$

The boundary regularity condition  $\nabla F = (-1, +1) \neq 0$  holds everywhere on  $\{F = 0\}$ .

## 4 Dual-family canonicalization

### 4.1 Within the QMI family

**Numerical Result 4.1** (QMI canonicalization, measured). Within the QMI encoding family (FULL class), the three representations rank by  $m_{\text{local}} = G_1 = 1 - \text{tailDom}$  as:

$$m(\text{QMI-spec}) = 0.0021706 > m(\text{QMI-pre}) = m(\text{QMI-gap}) = 0.0019229.$$

The canonical QMI encoding is **spectrum QM-I** ( $\text{tailDom} = 0.9978294$ ,  $\kappa_{\text{conn}} = 271,999$ ). All three lie in FULL; Theorem 7.2 (local maximum-margin canonicalization) holds with

non-trivial internal discrimination.

The spectrum encoding is simultaneously the most structurally compact (tailDom lowest), most margin-farthest from the boundary, and most easily connected ( $\kappa_{\text{conn}}$  smallest by factor 3.7).

## 4.2 Within the Zeeman family

**Numerical Result 4.2** (Zeeman canonicalization, approximate). Within the Zeeman encoding family (GIANT class), the three representations rank by  $m_{\text{local}} = G_2 = C - 0.95$  as:

$$m(\text{ZEE-trip}) = 0.0478168 > m(\text{ZEE-sing}) = 0.0477952 > m(\text{ZEE-full}) = 0.0475346.$$

The canonical Zeeman encoding is **triplet Zeeman** ( $C = 0.9978168$ , tailDom = 0.9995552). All three lie in GIANT; Theorem 7.2 holds.

**Remark 4.1** (Margin spread within Zeeman). The margin spread within the Zeeman family is small:  $0.04782 - 0.04753 = 0.00029$ , compared with  $0.00217 - 0.00192 = 0.00025$  within QMI. Both families have similarly tight within-family discrimination. The Zeeman ranking relies in part on approximate mode values (ZEE-trip, ZEE-full); direct exact-mode runs at matched resolution would confirm or refine the ranking.

## 4.3 Summary: dual-family canonicalization

Table 4 summarises the canonicalization result for both families.

Table 4: Dual-family canonicalization summary.  $m_{\text{local}}$ : class-interior positive margin.  $\Delta m$ : margin gap from rank 1 to rank 2.

Family	Class	Canonical encoding	$m_{\text{local}}$	$\Delta m$	Exact?
QMI	FULL	Spectrum QM-I	0.0021706	0.00025	✓
ZEE	GIANT	Triplet Zeeman	0.0478168	0.00002	Approx.†

## 5 Corner structure: family-level confirmation

The corner structure predicted in Addendum v2 for ZEE-sing is now confirmed as a *family-level property* of all three Zeeman encodings.

**Numerical Result 5.1** (Corner structure, family-level). All three Zeeman encodings simultaneously satisfy:

$$G_1 = 1 - \text{tailDom} \in [0.00039, 0.00071], \quad (\text{near tailDom} = 1 \text{ boundary}), \quad (1)$$

$$G_3 = C - 1.0 \in [-0.00246, -0.00218], \quad (\text{past full-percolation threshold}). \quad (2)$$

Both boundaries are approached at comparable scales ( $G_1/|G_3| \in [0.16, 0.32]$ ): the Zeeman family as a whole occupies a corner neighborhood of the chart, simultaneously close to the tail-dominance boundary ( $x_1 = 1$ ) and the full-percolation boundary ( $x_2 = 1$ ).

The corner structure is consistent with the two-chart decomposition: in a chart centred on the QMI cluster, the Zeeman encodings appear to be at  $G_1 \approx 0$  (boundary of the QMI decisive coordinate), whereas in a chart centred on the Zeeman cluster, the QMI encodings appear at  $G_3 = 0$  (boundary of the Zeeman decisive coordinate).

## 6 Resolution dependence: parametric model

### 6.1 Empirical transition from TAIL to GIANT

Table 5 contrasts Phase Mapping (PM,  $n \approx 1,999$ ) and direct-run (DR) evaluations.

Table 5: Resolution dependence: Phase Mapping ( $n \approx 2,000$ ) vs. direct runs. PM values from the Phase Mapping corpus.

Encoding	$n_{\text{PM}}$	$C_{\text{PM}}$	Class <sub>PM</sub>	$n_{\text{DR}}$	$C_{\text{DR}}$	Class <sub>DR</sub>
Full Zeeman	1999	0.958	TAIL	169,144	0.9975	GIANT
Singlet Zeeman	1999	0.984	TAIL	17,689	0.9978	GIANT
Triplet Zeeman	1999	0.966	TAIL	127,335	0.9978	GIANT

### 6.2 The TAIL condition and resolution dependence

The TAIL verdict requires  $\text{tailDom}(L) = 1.000$  (tail-dominance saturated: all gap weight concentrated in outlier transitions). At low  $n$ , outlier gaps constitute a large fraction of total gap weight, driving  $\text{tailDom} \rightarrow 1$ . As  $n$  grows, bulk sub-levels are resolved, diluting the outlier fraction:  $\text{tailDom}(n) \rightarrow$  some asymptote  $< 1$ .

For the singlet Zeeman ladder, a two-point logarithmic fit gives:

$$\text{tailDom}(n) \approx 1.00244 - 0.000321 \ln(n), \quad (3)$$

interpolated from ( $n = 1,999$ ,  $\text{tailDom} = 1.000$ ) and ( $n = 17,689$ ,  $\text{tailDom} = 0.9993$ ). The model predicts  $\text{tailDom} = 1.000$  at  $n \approx 1,999$ , decreasing to  $\text{tailDom} = 0.9993$  by  $n = 17,689$ .

**Numerical Result 6.1** (Transition estimate). The TAIL→GIANT transition occurs near  $n_{\text{crit}} \approx 2,000$ – $3,000$  for the singlet Zeeman, driven by  $\text{tailDom}$  dropping below unity as new sub-levels are resolved. The class is therefore representation-depth sensitive: the physical transition point between TAIL and GIANT is in the low- $n$  regime near the Phase Mapping ladder size.

**Remark 6.1** (Implication for Dual Observability). This is a parametric quantification of Theorem 7.5 of the Dual Observability manuscript. The same physical system (helium Zeeman spectrum) maps to different realizability classes depending on how many magnetic sub-levels are resolved in the ladder. The class-change is not arbitrary: it follows a predictable geometric path in the decisive chart — a trajectory  $\text{tailDom}(n)$  decreasing from 1.000 toward its asymptote as  $n$  increases, crossing the TAIL/GIANT boundary near  $n_{\text{crit}} \approx 2,000$ – $3,000$ .

## 7 Complete picture: all seven coordinates

Table 6 gives the complete chart-coordinate picture for all seven representations.

Table 6: All seven representations in the decisive chart  $\Phi(L) = (x_1, x_2)$ . Ordered by  $m_{\text{local}}$  within each family.  $F = C - \text{tailDom}$  (boundary function). †: approximate mode.

Rank	ID	Class	tailDom	$C$	$F$	$m_{\text{local}}$
<i>QMI family</i> (active branch $G_1, \nabla G_1 = (-1, 0)$ )						
1★	QMI-spec	FULL	0.9978294	1.0000000	+0.0021706	0.0021706
2	QMI-pre	FULL	0.9980771	1.0000000	+0.0019229	0.0019229
2	QMI-gap	FULL	0.9980771	1.0000000	+0.0019229	0.0019229
<i>Zeeman family</i> (binding branch $G_3, \nabla G_3 = (0, +1)$ )						
1★	ZEE-trip†	GIANT	0.9995552	0.9978168	-0.0017384	0.0478168
2	ZEE-sing	GIANT	0.9992884	0.9977952	-0.0014932	0.0477952
3	ZEE-full†	GIANT	0.9996097	0.9975346	-0.0020751	0.0475346

## 8 What is now closed, and what remains open

### 8.1 Closed by this addendum

- (i) **All tailDom values directly measured.** No estimates remain.
- (ii) **QMI canonicalization resolved.** Spectrum QM-I is the canonical QMI encoding by direct measured margin ranking.
- (iii) **Zeeman canonicalization resolved (approximately).** Triplet Zeeman is the canonical Zeeman encoding; confirmation pending exact-mode runs.
- (iv) **All three Zeeman encodings are GIANT** at full (or near-full) resolution. The TAIL classification was a low- $n$  artefact of the Phase Mapping corpus.
- (v) **Corner structure is a family property.** All three Zeeman encodings are simultaneously close to both the  $\text{tailDom} = 1$  and  $C = 1$  boundaries.
- (vi) **7/7 perfect separation.** The fitted boundary  $F = C - \text{tailDom} = 0$  correctly classifies all seven representations.
- (vii) **Multi-chart structure confirmed corpus-wide.** Orthogonal active branches ( $\nabla G_1 \cdot \nabla G_3 = 0$ ) hold across all six physically distinct Zeeman and QMI encodings.

### 8.2 Remaining open items

- (i) **Exact-mode runs for ZEE-trip and ZEE-full.** Confirming the Zeeman canonicalization ranking without the approximate-mode caveat.
- (ii) **Resolution sweep.** Measuring  $\text{tailDom}(n)$  at intermediate  $n$  values ( $n \in \{2,000, 3,000, 5,000, 10,000\}$ ) to pin down  $n_{\text{crit}}$  empirically and validate the logarithmic model.
- (iii) **Sodium extension.** The Na system has the most extreme representation split in the Phase Mapping corpus (QM-I FULL at  $\kappa_{\text{conn}} = 161,000$  vs. Zeeman HARD at  $C = 0.922$ ); it is the natural next test bed for the five-WP protocol.

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